



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

OFFICE OF
INSPECTOR GENERAL

January 5, 2017

MEMORANDUM

SUBJECT: Project Notification:
Audit of Information Technology Security for Servers Hosting Data Pursuant to the Federal Insecticide, Fungicide, and Rodenticide Act, and the Pesticide Registration Improvement Act
Project No. OA-FY17-0091

FROM: Rudolph M. Brevard, Director *Rudolph M. Brevard*
Information Resources Management Audits
Office of Audit

TO: Jim Jones, Assistant Administrator
Office of Chemical Safety and Pollution Prevention

The U. S. Environmental Protection Agency (EPA), Office of Inspector General (OIG), plans to begin fieldwork for an audit of information technology security for servers hosting data pursuant to the Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA), and the Pesticide Registration Improvement Act (PRIA). This audit supplements the financial statement audits of FIFRA and PRIA. These audits are statutorily required and are included in the OIG's 2017 Annual Plan.

Audit work will be performed at all EPA finance centers, and at other EPA financial and program offices in both headquarters and regions, as needed. Our audit objective is to determine whether the EPA has controls in place to ensure the completeness and integrity of data collected pursuant to the acts. Specifically, the OIG will:

- Determine whether the vulnerability testing of servers that host and manage PRIA and FIFRA applications is complete, and whether the agency is taking actions to correct identified vulnerabilities.
- Determine whether controls exist for the completeness of registrations processed within PRIA and FIFRA systems, and whether fees are recorded in the EPA's Compass Financials.
- Review information technology security of the database containing PRIA and FIFRA information.

We will contact you to arrange a mutually agreeable time to discuss the audit scope and objective. We would also be particularly interested in any areas of concern that you may have. We will answer any questions you may have about the project process, reporting procedures, methods used to gather and analyze data, and what we should expect of each other during the course of the project. During the audit,

we will provide updates on a regular basis by email, and/or during meetings with the Senior Agency Information Security Officer, and the appropriate program and regional audit follow-up coordinators.

We respectfully note that the OIG is authorized by the Inspector General Act of 1978 to have timely access to personnel and all materials necessary to complete its objectives. We will request your resolution if an agency employee or contractor refuses to provide requested records to the OIG, or otherwise fails to cooperate with the OIG. We may report unresolved access matters to the Administrator and include the incident in the Semiannual Report to Congress.

I will be supervising the project, and the Project Manager will be Albert Schmidt. Any information related to the project should be addressed to me at brevard.rudy@epa.gov or (202) 566-0893, or Albert Schmidt at schmidt.albert@epa.gov or (202) 566-0363.

cc: Jack Housenger, Director, Office of Pesticide Programs, Office of Chemical Safety and Pollution Prevention
Arnold Layne, Deputy Director for Management, Office of Pesticide Programs, Office of Chemical Safety and Pollution Prevention
Delores Barber, Director, Information Technology and Resources Management Division, Office of Pesticide Programs, Office of Chemical Safety and Pollution Prevention
Nic Grzegozewski, Agency Follow-Up Coordinator
Frank Benenati, Associate Administrator for Public Affairs
Melissa Harrison, Press Secretary, Office of Public Affairs
Janet Weiner, Audit Follow-Up Coordinator, Office of Chemical Safety and Pollution Prevention
Arthur A. Elkins Jr., Inspector General
Charles Sheehan, Deputy Inspector General
Alan Larsen, Counsel to the Inspector General
Kevin Christensen, Assistant Inspector General for Audit
Carolyn Copper, Assistant Inspector General for Program Evaluation
Patrick Sullivan, Assistant Inspector General for Investigations
Edward Shields, Acting Assistant Inspector General for Management
Richard Eyermann, Deputy Assistant Inspector General for Audit
Jennifer Kaplan, Deputy Assistant Inspector General for Congressional and Public Affairs
Jeffrey Lagda, Congressional and Media Liaison, Office of Inspector General