



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY  
WASHINGTON, D.C. 20460

OFFICE OF  
INSPECTOR GENERAL

September 21, 2020

**MEMORANDUM**

**SUBJECT:** Notification of Audit:  
EPA's Process for Awarding, Overseeing, and Monitoring Loans Made Under the Water Infrastructure Finance and Innovation Act  
Project No. OA-FY21-0004

**FROM:** John Trefry, Director  
Forensic Directorate  
Office of Audit

A handwritten signature in blue ink, reading "John Trefry", is placed over the typed name of John Trefry.

**TO:** David P. Ross, Assistant Administrator  
Office of Water

The Office of Inspector General for the U.S. Environmental Protection Agency plans to begin the subject audit, which is self-initiated. This audit addresses the following top management challenge for the Agency, as identified in our [EPA's FYs 2020–2021 Top Management Challenges](#) report, issued July 21, 2020: complying with internal control (policies and procedures).

The OIG's objective is to determine whether the EPA's process for awarding, overseeing, and monitoring loan awards made under the Water Infrastructure Finance and Innovation Act complies with the statute, regulations, and EPA policy. The OIG plans to conduct work within the Office of Water and select EPA regions. Applicable generally accepted government auditing standards will be used in conducting our audit. The anticipated benefit of this audit is improved EPA operational efficiency in protecting human health and the environment.

We will contact you to arrange a mutually agreeable time to discuss our objective. We would also be particularly interested in any areas of concern that you may have. We will answer any of your questions about the audit process, reporting procedures, methods used to gather and analyze data, and what we should expect of each other during the audit. Throughout the audit, we will provide updates on a regular basis.

We respectfully note that the OIG is authorized by the Inspector General Act of 1978, as amended, to have timely access to personnel and all materials necessary to complete its objectives. We will request that you immediately resolve the situation if an Agency employee or contractor refuses to provide requested materials to the OIG or otherwise fails to cooperate with the OIG. We may report unresolved access matters to the administrator and include the incident in the *Semiannual Report to Congress*.

I will supervise the audit, and the project manager will be Angela Bennett. Any information related to the project should be addressed to Angela Bennett at (404) 562-9844 or [bennett.angela@epa.gov](mailto:bennett.angela@epa.gov) or to me at (202) 566-2474 or [trefry.john@epa.gov](mailto:trefry.john@epa.gov).

cc: Henry Darwin, Assistant Deputy Administrator  
Doug Benevento, Associate Deputy Administrator  
Mandy Gunasekara, Chief of Staff  
Michael Molina, Deputy Chief of Staff/Operations  
Wesley J. Carpenter, Acting Deputy Chief of Staff  
Anna Wildeman, Principal Deputy Assistant Administrator for Water  
Dennis Lee Forsgren Jr., Deputy Assistant Administrator for Water  
Benita Best-Wong, Deputy Assistant Administrator for Water  
Charlotte Bertrand, Deputy Assistant Administrator for Water  
Andrew Sawyers, Director, Office of Wastewater Management, Office of Water  
Wynne Miller, Deputy Director, Office of Wastewater Management, Office of Water  
Raffael Stein, Director, Water Infrastructure Division, Office of Wastewater Management,  
Office of Water  
Tiffany Crawford, Audit Follow-Up Coordinator, Office of Water  
Andrew LeBlanc, Agency Follow-Up Coordinator  
James Hewitt, Associate Administrator for Public Affairs  
Lance McCluney, Director, Office of Administrative and Executive Services, Office of  
the Administrator  
Regional Audit Follow-Up Coordinators, Regions 1–10  
Sean W. O'Donnell, Inspector General  
Charles J. Sheehan, Deputy Inspector General  
Edward S. Shields, Associate Deputy Inspector General  
Eric W. Hanger, Deputy Counsel to the Inspector General  
Katherine Trimble, Assistant Inspector General for Audit  
Kathlene Butler, Acting Assistant Inspector General for Evaluation  
Helina P. Wong, Assistant Inspector General for Investigations  
Stephanie L. Wright, Acting Deputy Assistant Inspector General for Management  
Christine El-Zoghbi, Deputy Assistant Inspector General for Evaluation  
Rashmi Bartlett, Deputy Assistant Inspector General for Audit  
James Hatfield, Associate Deputy Assistant Inspector General for Audit  
Jennifer Kaplan, Deputy Assistant Inspector General for Congressional and Public Affairs  
Jeffrey Lagda, Congressional and Media Liaison, Office of Inspector General