September 21, 2020

MEMORANDUM

SUBJECT: Follow-up to the Office of Inspector General Final Report No. 20-P-0200: "*EPA Needs to Address Internal Control Deficiencies in the Agencywide Quality System,*" dated June 22, 2020

FROM: Vaughn Noga, Chief Information Officer

VAUGHN NOGA

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VAUGHN NOGA
Date: 2020.09.22
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and Deputy Assistant Administrator for Environmental Information

TO: Kathlene Butler, Acting Assistant Inspector General for Audit and Evaluation, Office of Inspector General

The final report for the "EPA Needs to Address Internal Control Deficiencies in the Agencywide Quality System," audit notes that there are two unresolved recommendations for the Office of Mission Support (OMS). The purpose of this memorandum is to transmit OMS's revised corrective action plan for the two unresolved recommendations that fully address the concerns in the final report.

In response to an April 17, 2020, Enterprise Quality Management Division (EQMD) and Quality Community report to the CIO, OMS agreed with the report recommendations. OMS will incorporate the identified and approved QA metrics (annual reporting requirements) in the updated Agency Quality Policy and Procedure. Once finalized, OMS will collect and track agencywide annual reporting requirements, including quantitative and qualitative data.

No.	Recommendation	High-Level Corrective Actions	Estimated Completed Date
14	Complete development and rollout of the agencywide tracking system that includes finalized core metrics.	In order to complete development and rollout of the agencywide tracking system that includes finalized core metrics, OMS will: Corrective Action #14.1: Finalize draft directives on requirements for annual reporting by regions and programs. Corrective Action #14.2: Send out a data call for FY 2021 and planned FY 2022 activities to programs and regions requesting reporting requirements.	April 15, 2021 January 15, 2021
15	Coordinate with program and regional offices to eliminate redundant or	In order to coordinate with program and regional offices to eliminate redundant or duplicative tracking systems, OMS will:	Completed with documentation attached

duplicative tracking systems.	Corrective Action #15: Continue leveraging the continuous process outlined in the CIO's October 1, 2019, policy, "Improving the Management of Small and Non-Investments" to coordinate with program and regional offices on potentially redundant or duplicative Quality tracking systems. Additionally, every fiscal year, each program and region undergo an IT Portfolio Review (ITPR). As part of the ITPR, the CIO assesses the status and need for systems and applications and reviews project plans, detailed IT budget data, security requirements and other measures to determine the need for the applications within EPA's IT inventory. ITPRs reflect a continuous process with no end date as new business needs and, thus, applications continuously arise.	

Attachment

cc: Erin Collard
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